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NOTICE OF ALLOWANCE AND FEE(S) DUE

27879

7590

12/29/2009

INDIANAPOLIS OFFICE 27879 BRINKS HOFER GILSON & LIONE CAPITAL CENTER, SUITE 1100 201 NORTH ILLINOIS STREET INDIANAPOLIS, IN 46204-4220

EXAMINER				
HOLDER, ANNER N				
ART UNIT	PAPER NUMBER			
<u>`</u>				

2621 DATE MAILED: 12/29/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/810,792	03/26/2004	Kazuo Sugimoto	9683/180	9618

TITLE OF INVENTION: VIDEO ENCODING APPARATUS, VIDEO ENCODING METHOD, VIDEO ENCODING PROGRAM, VIDEO DECODING APPARATUS, VIDEO DECODING METHOD AND VIDEO DECODING PROGRAM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/29/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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	LINOIS STREET S, IN 46204-4220						(Depositor's name)
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							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/810,792	03/26/2004	•	Kazuo Sugimoto	•		9683/180	9618
TITLE OF INVENTION APPARATUS, VIDEO I			ENCODING METHOD, Y G PROGRAM	VIDEO ENCODIN	G PRO	OGRAM, VIDEO DE	CODING
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0		\$1810	03/29/2010
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
HOLDER,	ANNER N	2621	375-240160				
 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee bletion of this form is NO	T a substitute for filing an (B) RESIDENCE: (CITY	atent. If an assigne assignment. and STATE OR C	OUNT	RY)	ocument has been filed for
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5. Change in Entity Sta	itus (from status indicated as SMALL ENTITY statu	· ·	☐ b. Applicant is no long	per claiming SMAI	L ENT	TTY status See 37 Cl	FR 1 27(g)(2)
NOTE: The Issue Fee an	d Publication Fee (if req		d from anyone other than t				e assignee or other party in
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an application. Confiden submitting the complete this form and/or suggest	tiality is governed by 35 dapplication form to the ions for reducing this bu. Virginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	1.14. This collection is est depending upon the indiverse Chief Information Office	imated to take 12 n idual case. Any co cr. U.S. Patent and '	ninutes mments Tradem	to complete, including on the amount of time ark Office, U.S. Depart	I by the USPTO to process) g gathering, preparing, and ne you require to complete attement of Commerce, P.O. for Patents, P.O. Box 1450,

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	GILSON & LIONE	ART UNIT	PAPER NUMBER	
CAPITAL CENTI		2621		
201 NORTH ILLINOIS STREET			DATE MAILED: 12/29/200	9
INDIANAPOLIS,	IN 46204-4220			

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 687 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 687 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/810,792	SUGIMOTO ET AL.	
Notice of Allowability	Examiner	Art Unit	
	ANNER HOLDER	2621	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to 08/12/09.	(OR REMAINS) CLOSED i or other appropriate comm IGHTS. This application is	n this application. If not included unication will be mailed in due course. THIS	
2. X The allowed claim(s) is/are 21-24,26,29-40,42 and 44-47.			
 3. Acknowledgment is made of a claim for foreign priority ur a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 	e been received. e been received in Application	on No	
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	IENT of this application.		
INFORMAL PATENT APPLICATION (PTO-152) which give 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") mus (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	es reason(s) why the oath o st be submitted. son's Patent Drawing Revie	r declaration is deficient. w (PTO-948) attached	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in to the deposit of and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT	he header according to 37 Cl sit of BIOLOGICAL MAT	FR 1.121(d). ERIAL must be submitted. Note the	
Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6.	formal Patent Application ummary (PTO-413), /Mail Date Amendment/Comment Statement of Reasons for Allowance	

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Karl Horlander on 12/17/09.

- 2. The application has been amended as follows:
 - a. Claims are to be amended as listed below a line through indicates deletion; [[]] indicates removal/replacement, underline indicates addition to the claim.
 - 21. A video encoding method comprising: dividing a coding target frame into a plurality of blocks, wherein each of the blocks corresponds to a predicted reference image to be generated; determining a motion vector for each of the blocks; extracting, for an operable block within the blocks, motion complexity information of the operable block based upon the motion vector of the operable block and the motion vector of each of the blocks in the coding target frame that neighbor the operable block, wherein the motion complexity information of the operable block indicates a degree of complexity of movement between the operable block of the coding target frame and a corresponding block in a reference frame; determining, for the operable block, a number of funny position pixels to include in the predicted reference image

to be generated for the operable block based upon the motion complexity information of the operable block, wherein the determined number of funny position pixels included in the predicted reference image increases as the degree of complexity of movement of the operable block increases; and generating the predicted reference image for the operable block, wherein the predicted reference image for the operable block includes integer pixels located at integer pixel positions within the predicted reference image, interpolated pixels located at interpolated pixel positions within the predicted reference image, and the determined number of funny position pixels[[.]]; generating the predicted reference image corresponding to the coding target frame as a function of the motion vector determined for each of the blocks of the coding target frame; calculating a difference between the coding target frame and the predicted reference image for each of said blocks; converting the difference between the coding target frame and the predicted reference image for each of said blocks into a set of coefficients based upon a predetermined conversion rule; determining a number of non-zero coefficients in each set of coefficients for each of said blocks; and wherein extracting motion complexity information of the operable block comprises: determining a number of non-zero coefficients in the blocks that neighbor the operable block, wherein the motion complexity information of the operable block is based upon the number of non-zero coefficients in the blocks that neighbor

the operable block.

- 24. The video encoder method of claim 23, wherein the interpolated pixels are generated with an interpolation algorithm, and for each of the <u>interpolated interpolator</u> pixels, the interpolator algorithm including a high-frequency cutoff characteristic; wherein the funny position pixels are generated with a low-pass filter, wherein the low-pass filter includes a high-frequency cutoff characteristic; and wherein for a respective funny position pixel of the funny position pixels, the high-frequency cutoff characteristic of the low-pass filter used to generate the respective funny position pixel is less than the high-frequency cutoff characteristic of the interpolator algorithm used to generate the interpolated pixels that neighbor the respective funny position pixel.
- 26. The video encoding method of claim 21, wherein determining, for the operable block, the <u>determined</u> number of funny position pixels to include in the predicted reference image, further comprises: determining whether the degree of complexity of movement of the operable block exceeds a threshold; and in response to determination that the degree of complexity of movement of the operable block exceeds the threshold, selecting the determined number of funny position pixels to be greater than one.

- 31. The video encoding method of claim 30, wherein the determined number of funny pixels includes a funny <u>position</u> pixel located at a funny position location, and wherein generating the determined number of funny position pixels further comprises: calculating the pixel value for the funny position pixel based upon the integer pixels located in a horizontal line of pixels of the coding target frame that are spatially closest to the funny position location[[s]] of the funny position pixel.
- 32. A computer readable media comprising: computer program code executable on a processor, the computer program code including instructions to: divide a coding target frame into a plurality of blocks, wherein each of the blocks corresponds to a predicted reference image to be generated; determine a motion vector for each of the blocks; extract, for an operable block within the blocks, motion complexity information of the operable block based upon the motion vector of the operable block and the motion vector of each of the blocks in the coding target frame that neighbor the operable block, wherein the motion complexity information of the operable block indicates a degree of complexity of movement between the operable block of the coding target frame and a corresponding block in a reference frame; determine, for the operable block, a number of funny position pixels to include in the predicted reference image to be generated for the operable block based upon

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the motion complexity information of the operable block, wherein the determined number of funny position pixels included in the predicted reference image increases as the degree of complexity of movement of the operable block increases; and generate the predicted reference image for the operable block, wherein the predicted reference image for the operable block includes integer pixels located at integer pixel positions within the predicted reference image, interpolated pixels located at interpolated pixel positions within the predicted reference image, and the determined number of funny position pixels[[.]]; generate the predicted reference image corresponding to the coding target frame as a function of the motion vector determined for each of the blocks of the coding target frame; calculate a difference between the coding target frame and the predicted reference image for each of said blocks; convert the difference between the coding target frame and the predicted reference image for each of said blocks into a set of coefficients based upon a predetermined conversion rule; and wherein the instructions to extract the motion complexity information of the operable block comprises instructions to determine a number of non-zero coefficients in said blocks that neighbor the operable block, wherein the motion complexity information of the operable block is based upon the number of non-zero coefficients in said blocks that neighbor the operable block.

- 34. The computer readable media of claim 32, further comprising instructions to: generate a predicted <u>reference</u> image corresponding to the coding target frame as a function of the motion vector determined for each of the blocks of the coding target frame; calculate a difference between the coding target frame and the predicted <u>reference</u> image for each of said blocks; convert the difference between the coding target frame and the predicted <u>reference</u> image for each of said blocks into a set of coefficients based upon a predetermined conversion rule; determine the number of nonzero coefficients in each set of coefficients for each of said blocks; and determine a number of nonzero coefficients in said blocks that neighbor the operable block, wherein the complexity information of the operable block is based upon the number of nonzero coefficients.
- 38. A video decoding method comprising: dividing a decoding target frame into a plurality of blocks, wherein each of the blocks corresponds to a predicted <u>reference</u> image to be generated; decoding a compressed data stream to generate a motion vector for an operable block and a motion vector for each of the blocks in the decoding target frame that surround the operable block in the decoding target frame; extracting, for an operable block within the blocks, motion complexity information of the operable block based upon the motion vector of the operable block and the motion vector for each of the motion vector for each of the motion vector for each of the

blocks in the decoding target frame that surround the operable block, wherein the complexity information of the operable block indicates a degree of complexity of movement between the operable block of the decoding target frame and a corresponding block in a reference frame; determining, for the operable block, a number of funny position pixels to include in the predicted reference image to be generated for the operable block based upon the motion complexity information of the operable block, wherein the number of funny position pixels included in the predicted reference image increases as the degree of complexity of movement of the operable block increases; and generating the predicted reference image for the operable block based upon reference integer pixels of the corresponding block in the reference frame, the reference integer pixels of blocks in the reference frame that surround the corresponding block, the motion vector of the operable block, and the motion vector of each of the blocks that surround the operable block in the decoding target frame, wherein the predicted reference image for the operable block includes integer pixels located at integer pixel positions within the predicted reference image, interpolated pixels located at interpolated pixel positions within the predicted reference image, and the determined number of funny position pixels[[.]]; generating the predicted reference image corresponding to the decoding target frame as a function of the motion vector determined for each of the blocks of the decoding target frame; calculating a difference

between the decoding target frame and the predicted reference image for each of said blocks; converting the difference between the decoding target frame and the predicted reference image for each of said blocks into a set of coefficients based upon a predetermined conversion rule; and wherein extracting motion complexity information of the operable block comprises: determining a number of non-zero coefficients in said blocks that neighbor the operable block, wherein the complexity information of the operable block is based upon the number of non-zero coefficients in said blocks that neighbor the operable block.

- 42. (Currently Amended) The video decoding method of claim 38, wherein determining, for the operable block, the number of funny position pixels to include in the predicted <u>reference</u> image, further comprises: determining whether the degree of complexity of movement of the operable block exceeds a threshold; and in response to determination that the degree of complexity of movement of the operable block exceeds the threshold, selecting the determined number of funny position pixels to be greater than one.
- 47. A computing system comprising: a storage medium including stored therein a plurality of executable instructions; and a[[n]] processor coupled to the storage medium, the processor configured to execute at least a subset of

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the plurality of executable instructions to implement a method according to claim 38.

- b. Further the claims are amended to reflect the renumbering to place them in numerical order as follows
 - i. Claim 26 should be changed to Claim 25.
 - ii. Claim 29 should be changed to Claim 26.
 - iii. Claim 30 should be changed to Claim 27.
 - iv. Claim 31 should be changed to Claim 28. Further the claim should be changed to read as follows at line 5 page 5: adding "The video encoding method of claim 27," deleting "The video encoding method of claim 30,"
 - v. Claim 32 should be changed to Claim 29.
 - vi. Claim 33 should be changed to Claim 30. Further the claim should be changed to read as follows at line 1 page 7: adding "The computer readable media of claim 29," deleting "The computer readable media of claim 32,"
 - vii. Claim 34 should be changed to Claim 31. Further the claim should be changed to read as follows at line 7 page 7: adding "The computer readable media of claim 29," deleting "The computer readable media of claim 32,"
 - viii. Claim 35 should be changed to Claim 32. Further the claim

should be changed to read as follows at line 1 page 8: adding "The computer readable media of claim 29," deleting "The computer readable media of claim 32,"

Page 11

- ix. Claim 36 should be changed to Claim 33. Further the claim should be changed to read as follows at line 10 page 8: adding "The computer readable media of claim 29," deleting "The computer readable media of claim 32,"
- x. Claim 37 should be changed to Claim 34. Further the claim should be changed to read as follows at line 21 page 8: adding "The computer readable media of claim 29," deleting "The computer readable media of claim 32,"
- xi. Claim 38 should be changed to Claim 35.
- xii. Claim 39 should be changed to Claim 36. Further the claim should changed to read as follows at line 1 page 11: adding "The video decoding method of claim 35," deleting "The video decoding method of claim 38,"
- xiii. Claim 40 should be changed to Claim 37. Further the claim should be changed to read as follows at line 8 page 11: adding "The video decoding method of claim 35," deleting "The video decoding method of claim 38,"
- xiv. Claim 42 should be changed to Claim 38. Further the claim

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should be changed to read as follows at line 22 page 11: adding "The video decoding method of claim 35," deleting "The video decoding method of claim 38,"

xv. Claim 44 should be changed to Claim 39. Further the claim should be changed to read as follows at line 11 page 12: adding "The video decoding method of claim 35," deleting "The video decoding method of claim 38,"

xvi. Claim 45 should be changed to Claim 40. Further the claim should be changed to read as follows at line 20 page 12: adding "The video decoding method of claim 39," deleting "The video decoding method of claim 44,"

xvii. Claim 46 should be changed to Claim 41. Further the claim should be changed to read as follows at lines 5-7 page 13: adding "A tangible computer readable media comprising: computer program code executable on a processor, the computer program code including instructions to implement the method according to claim 35." deleting "A tangible computer readable media comprising: computer program code executable on a processor, the computer program code including instructions to implement the method according to claim 38."

xviii. Claim 47 should be changed to Claim 42. Further the claim should be changed to read as follows at lines 9-13 page 13: adding "A

computing system comprising: a storage medium including stored therein a plurality of executable instructions; and a[[n]] processor coupled to the storage medium, the processor configured to execute at least a subset of the plurality of executable instructions to implement a method according to claim 35." deleting "A computing system comprising: a storage medium including stored therein a plurality of executable instructions; and a[[n]] processor coupled to the storage medium, the processor configured to execute at least a subset of the plurality of executable instructions to implement a method according to claim 38."

Allowable Subject Matter

3. Claims 21-24, 26, 29, 30-31, 32-40, 42, and 44-47 are allowed.

The following is an examiner's statement of reasons for allowance: The cited prior art fails to teach the applicant's claimed invention as follows: blocks corresponds to a predicted reference image to be generated; determining a motion vector for each of the blocks; extracting, for an operable block within the blocks, motion complexity information of the operable block, wherein the motion complexity information of the operable block indicates a degree of complexity of movement between the operable block of the coding target frame and a corresponding block in a reference frame; determining, for the operable block, a number of funny position pixels to include in the predicted

reference image to be generated for the operable block based upon the motion complexity information of the operable block, wherein the determined number of funny position pixels included in the predicted reference image increases as the degree of complexity of movement of the operable block increases; generating the predicted reference image for the operable block. wherein the predicted reference image for the operable block includes integer pixels located at integer pixel positions within the predicted reference image, interpolated pixels located at interpolated pixel positions within the predicted reference image, and the determined number of funny position pixels; generating the predicted reference image corresponding to the coding target frame as a function of the motion vector determined for each of the blocks of the coding target frame; calculating a difference between the coding target frame and the predicted reference image for each of said blocks; converting the difference between the coding target frame and the predicted reference image for each of said blocks into a set of coefficients based upon a predetermined conversion rule; determining a number of non-zero coefficients in each set of coefficients for each of said blocks; and wherein extracting motion complexity information of the operable block comprises: determining a number of non-zero coefficients in the blocks that neighbor the operable block, wherein the motion complexity information of the operable block is based upon the number of non-zero coefficients in the blocks that neighbor

the operable block.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANNER HOLDER whose telephone number is (571)270-1549. The examiner can normally be reached on M-W, M-W 8 am-3 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mehrdad Dastouri can be reached on 571-272-7418. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Anner Holder/ Examiner, Art Unit 2621 /Tung Vo/ Primary Examiner, Art Unit 2621